

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

VINCENT M. FERRARO,

Plaintiff,

v.

CAROLYN W. COLVIN, Acting
Commissioner of Social Security,

Defendant.

CASE NO. C15-1381-RBL-MAT

REPORT AND RECOMMENDATION
RE: SOCIAL SECURITY DISABILITY
APPEAL

Plaintiff Vincent Ferraro proceeds through counsel in his appeal of a final decision of the Commissioner of the Social Security Administration (Commissioner). The Commissioner denied plaintiff's application for Supplemental Security Income (SSI) after a hearing before an Administrative Law Judge (ALJ). Having considered the ALJ's decision, the administrative record (AR), and all memoranda, the Court finds the oral argument requested by plaintiff unnecessary, and recommends this matter be REMANDED for further administrative proceedings.

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FACTS AND PROCEDURAL HISTORY

Plaintiff was born on XXXX, 1962.¹ He obtained a high school diploma and a GED, and previously worked as a carpenter, maintenance carpenter, and salvage laborer. (AR 38, 77-78.)

Plaintiff filed an SSI application on August 16, 2012. (AR 246.) Although he alleged disability beginning March 1, 2010 (*see id.*), the earliest month for which SSI can be paid “is the month following the month” the application is filed. 20 C.F.R. § 416.335. The Commissioner considered plaintiff’s alleged disability as of plaintiff’s August 16, 2012 application date. (AR 15.) Plaintiff’s application was denied at the initial level and on reconsideration.

On September 25, 2013, ALJ Kimberly Boyce held a hearing, taking testimony from plaintiff and a vocational expert (VE). (AR 31-90.) On January 15, 2014, the ALJ issued a decision finding plaintiff not disabled since the date of his application. (AR 15-25.)

Plaintiff timely appealed. The Appeals Council denied plaintiff’s request for review on June 30, 2015 (AR 1-5), making the ALJ’s decision the final decision of the Commissioner. Plaintiff appealed this final decision of the Commissioner to this Court.

JURISDICTION

The Court has jurisdiction to review the ALJ’s decision pursuant to 42 U.S.C. § 405(g).

DISCUSSION

The Commissioner follows a five-step sequential evaluation process for determining whether a claimant is disabled. *See* 20 C.F.R. § 416.920 (2000). At step one, it must be determined whether the claimant is gainfully employed. The ALJ found plaintiff had not engaged in substantial gainful activity. At step two, it must be determined whether a claimant

¹ Plaintiff’s date of birth is redacted back to the year in accordance with Federal Rule of Civil Procedure 5.2(a) and the General Order of the Court regarding Public Access to Electronic Case Files.

1 suffers from a severe impairment. The ALJ found plaintiff's cervical spine degenerative disc
2 disease, affective disorder, anxiety disorder, and substance abuse severe. Step three asks
3 whether a claimant's impairments meet or equal a listed impairment. The ALJ found plaintiff's
4 impairments did not meet or equal the criteria of a listed impairment.

5 If a claimant's impairments do not meet or equal a listing, the Commissioner must assess
6 residual functional capacity (RFC) and determine at step four whether the claimant has
7 demonstrated an inability to perform past relevant work. The ALJ found plaintiff able to lift
8 and/or carry up to twenty pounds occasionally and ten pounds frequently; stand and/or walk
9 about six hours on level ground; sit for about six hours in work that permits a mix of standing
10 and sitting in any combination; lift, carry, push, and pull within the light exertional limits with
11 both upper extremities; frequently reach laterally and below with the left upper extremity;
12 occasionally reach overhead with both upper extremities; never climb ladders, ropes, or
13 scaffolds; frequently climb ramps and stairs; occasionally balance, stoop, kneel, crouch, and
14 crawl; and perform work in which concentrated exposure to vibration and hazards, such as
15 unprotected heights and dangerous machinery, is not present. The ALJ also found that, in order
16 to meet ordinary and reasonable employer expectations regarding work place behavior and
17 production, plaintiff can understand, remember, and carry out unskilled, routine, and repetitive
18 work. With that assessment, the ALJ found plaintiff unable to perform his past relevant work.

19 If a claimant demonstrates an inability to perform past relevant work, or has no such
20 work, the burden shifts to the Commissioner to demonstrate at step five that the claimant retains
21 the capacity to make an adjustment to work that exists in significant levels in the national
22 economy. With the assistance of the VE, the ALJ found plaintiff capable of performing other
23 jobs, such as a storage facility clerk, photo copy machine operator, and ad material distributor.

1 This Court's review of the ALJ's decision is limited to whether the decision is in
2 accordance with the law and the findings supported by substantial evidence in the record as a
3 whole. *See Penny v. Sullivan*, 2 F.3d 953, 956 (9th Cir. 1993). *Accord Marsh v. Colvin*, 792
4 F.3d 1170, 1172 (9th Cir. 2015) ("We will set aside a denial of benefits only if the denial is
5 unsupported by substantial evidence in the administrative record or is based on legal error.")
6 Substantial evidence means more than a scintilla, but less than a preponderance; it means such
7 relevant evidence as a reasonable mind might accept as adequate to support a conclusion.
8 *Magallanes v. Bowen*, 881 F.2d 747, 750 (9th Cir. 1989). If there is more than one rational
9 interpretation, one of which supports the ALJ's decision, the Court must uphold that decision.
10 *Thomas v. Barnhart*, 278 F.3d 947, 954 (9th Cir. 2002).

11 Plaintiff argues the ALJ erred in assessing the medical evidence and his credibility, and at
12 step five. He maintains the ALJ's conclusion is not supported by substantial evidence, but does
13 not specify the type of remand requested. The Commissioner argues the ALJ's decision has the
14 support of substantial evidence and should be affirmed.

15 Medical Evidence

16 Plaintiff argues the ALJ failed to discuss, properly evaluate, or obtain significant medical
17 evidence in the record, leading to a faulty RFC and incomplete questions proffered to the VE.
18 The Court, for the reasons set forth below, agrees with plaintiff, in part, as to errors in the ALJ's
19 consideration of the medical evidence.

20 The ALJ need not discuss each piece of evidence in the record. *Vincent v. Heckler*, 739
21 F.2d 1393, 1394-95 (9th Cir. 1984). Instead, "she must explain why 'significant probative
22 evidence has been rejected.'" *Id.* (quoting *Cotter v. Harris*, 642 F.2d 700, 706 (3d Cir. 1981)).

23 "The ALJ must consider all medical opinion evidence." *Tommasetti v. Astrue*, 533 F.3d

1 1035, 1041 (9th Cir. 2008). *See also* 20 C.F.R. § 416.927(c) (“Regardless of its source, we will
2 evaluate every medical opinion we receive.”) In considering the weight to assign the opinions of
3 medical providers, Social Security regulations distinguish between “acceptable medical sources”
4 and “other sources.” Acceptable medical sources include, for example, licensed physicians and
5 psychologists, while other non-specified medical providers are considered “other sources.” 20
6 C.F.R. § 416.913(a) and (d), and Social Security Ruling (SSR) 06-03p.

7 An ALJ must, as a general matter, give more weight to the opinion of a treating physician
8 than to a non-treating physician, and more weight to the opinion of an examining physician than
9 to a non-examining physician. *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1996).
10 Uncontradicted opinions may be rejected only for “‘clear and convincing’” reasons, and
11 contradicted opinions may not be rejected without “‘specific and legitimate reasons’ supported
12 by substantial evidence in the record for so doing.” *Id.* at 830-31 (quoted sources omitted). Less
13 weight may be assigned to the opinions of other sources. *Gomez v. Chater*, 74 F.3d 967, 970
14 (9th Cir. 1996). An ALJ may reject opinions of other sources by providing reasons germane to
15 each source. *Molina v. Astrue*, 674 F.3d 1104, 1111 (9th Cir. 2012).

16 A. Physical Impairments

17 The record contained and the ALJ considered a medical opinion addressing plaintiff’s
18 physical condition from non-examining State agency physician Dr. Robert Hoskins. Dr. Hoskins
19 opined plaintiff could perform less than the full range of light work with postural, manipulative,
20 and environmental limitations. (AR 109-12.) While the record also contained evidence from
21 treating physicians Drs. Lawrence Tsai, Daniel Nehls, and Derek Scott, none of these providers
22 offered opinions as to plaintiff’s abilities or functional limitations. The ALJ was not, as such,
23 required to provide any reasons for rejecting opinion evidence from these physicians. *Turner v.*

1 *Comm'r of Social Sec. Admin.*, 613 F.3d 1217, 1223 (9th Cir. 2010).

2 Nor can the ALJ be faulted for affording great weight to the uncontradicted opinion
3 evidence from Dr. Hoskins. (AR 21-22.) While plaintiff takes issue with the fact that Dr.
4 Hoskins rendered his opinions before additional evidence came into the record, Dr. Hoskins
5 nonetheless considered significant probative evidence associated with plaintiff's physical
6 impairments. For example, and contrary to plaintiff's contention, Dr. Hoskins considered the
7 January 2013 MRI "validat[ing]" plaintiff's "significant" cervical impairment. (AR 112.) The
8 ALJ's consideration of the sole medical opinion of record addressing plaintiff's physical
9 impairments has the support of substantial evidence.

10 Plaintiff avers error in the ALJ's failure to even discuss treating physicians Drs. Tsai,
11 Nehls, and Scott. The ALJ, at most, pointed to two pieces of evidence in describing objective
12 findings in the record, evidence received from Drs. Tsai and Nehls respectively. (*See* AR 21-
13 22.) Yet, while finding this description of the record notably minimal, the Court agrees with the
14 Commissioner's contention that plaintiff fails to show how any other evidence from plaintiff's
15 treating providers support physical functional limitations going beyond those included in the
16 assessed RFC. The Court does not, therefore, find reversible error based on the omission of a
17 more detailed discussion of the medical evidence of record. *See Vincent*, 739 F.3d at 1394-95
18 (ALJ "must explain why 'significant probative evidence has been rejected.'") However, given
19 the existence of other reversible error, as discussed below, the ALJ should take the opportunity
20 on remand to provide a more detailed discussion of the medical evidence. That discussion
21 should include, for example, the evidence of plaintiff's January 2013 cervical spine MRI and
22 recommended surgery.

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1 B. Mental Impairments

2 The record includes and the ALJ addressed medical opinions from nonexamining
3 psychologist Dr. John Robinson (AR 112-13), examining psychologist Dr. Wayne Dees (AR
4 368-73), and other source Patrick Hains, MSW (AR 587-90). The record also includes a
5 November 2012 opinion from nonexamining psychologist Dr. Christmas Covell. (AR 97-98.)

6 1. Drs. Covell and Robinson:

7 The ALJ erred in failing to even mention the opinion evidence from Dr. Covell. *See*
8 *Tommasetti*, 533 F.3d at 1041; 20 C.F.R. § 416.927(c). Because Dr. Covell's opinions are
9 identical to those later offered by Dr. Robinson, the failure to acknowledge the evaluation from
10 Dr. Covell could be construed as harmless. *See Molina*, 674 F.3d at 1115 (ALJ's error may be
11 deemed harmless where it is "inconsequential to the ultimate nondisability determination."; the
12 court looks to "the record as a whole to determine whether the error alters the outcome of the
13 case.") (cited sources omitted). However, unlike the wholly adopted opinions of Dr. Hoskins,
14 the ALJ afforded only some weight to the opinions of Dr. Robinson, specifically rejecting his
15 opinion plaintiff had moderate limitations in social functioning and would do best to work away
16 from the general public. (*See* AR 21-22, 113.) While the ALJ did offer reasons for rejecting this
17 opinion, her failure to acknowledge that not one, but two nonexamining psychologists offered
18 uncontradicted opinions as to social functioning raises a question as to the sufficiency of the
19 ALJ's review of the record and her reasoning. The ALJ should reconsider and address the
20 medical opinions of Drs. Covell and Robinson on remand.

21 2. Dr. Dees:

22 The ALJ also erred in addressing the June 2012 opinions of Dr. Dees. Dr. Dees opined
23 plaintiff appeared able to perform simple and repetitive tasks, but would likely have more

1 difficulty with more complex tasks due to mental health issues; that it was unlikely he would be
2 able to work in a consistent and competitive environment or show up for work on time due to
3 these issues; that depression, anxiety, low self-esteem, pessimism, and low self-worth would
4 likely impair his ability to interact with others and maintain a consistent work schedule; and that
5 he prefers to socially isolate and has difficulty being around others. (AR 370.) The ALJ rejected
6 the opinions of Dr. Dees as based largely on plaintiff's self-reported symptoms, which the ALJ
7 did not find entirely credible; the conclusion that plaintiff had incentive to overstate his
8 symptoms and complaints because the evaluation was conducted for the purpose of determining
9 eligibility for state assistance; that the evaluation form was completed by checking boxes and
10 contained few objective findings; and the perception that plaintiff's actual activities had been
11 greater than those reported to Dr. Dees. (AR 22.)

12 “[I]n the absence of other evidence to undermine the credibility of a medical report, the
13 purpose for which the report was obtained does not provide a legitimate basis for rejecting it.”
14 *Reddick v. Chater*, 157 F.3d 715, 726 (9th Cir. 1998); *accord Lester*, 81 F.3d 832 (absent
15 “evidence of actual improprieties,” examining doctor's findings entitled to no less weight when
16 examination procured by the claimant than when obtained by the Commissioner). Here, while
17 the ALJ did find plaintiff less than fully credible, she provided only two reasons for that
18 determination, neither of which are reasonably construed as supporting the ALJ's conclusion in
19 relation to Dr. Dees's report. (AR 20-21 (finding evidence failed to document significant
20 objective findings inconsistent with RFC and showed activities consistent with RFC).)

21 Nor is the form completed by Dr. Dees fairly described as a check-off report lacking any
22 explanation for the conclusions and permissibly rejected on that basis. *Molina*, 674 F.3d at 1111.
23 The form, in fact, contains only a small number of checked boxes, and otherwise includes written

1 answers, including Dr. Dees's opinion, in narrative form, as to the effect of plaintiff's symptoms
2 on his ability to work. (AR 368-70.) *See Holohan v. Massanari*, 246 F.3d 1195, 1202 (9th Cir.
3 2001) ("[T]he regulations give more weight to opinions that are explained than to those that are
4 not.").

5 The ALJ likewise did not reasonably rely on a perceived difference between plaintiff's
6 actual activities and the activities he reported to Dr. Dees. Dr. Dees reflected plaintiff's report
7 that he went to the library, read, was trying to learn the computer, walked around town, goes to
8 Tacoma to see his son every few days, cannot sit idle due to his anxiety, had inconsistent
9 activities of daily living due to depression, last showered a couple of weeks ago, had inconsistent
10 appetite and usually ate only once daily, and rides the bus. (AR 370.) He also reported plaintiff
11 had previously stayed at a shelter, but was at that time staying with a friend. (AR 369-70.) The
12 differences between plaintiff's report to Dr. Dees and his activities as described by the ALJ are
13 minimal, and explained in large part by the fact that, at the time of the hearing, plaintiff was
14 living in a shelter where he was required to do chores, including working security once a week,
15 helping with cleaning, and attending meetings. (*See* AR 18, 21, 57-59.)

16 The ALJ's remaining reason for rejecting the opinions of Dr. Dees consisted of her
17 perception that it was based largely on plaintiff's discredited self-reported symptoms. "An ALJ
18 may reject a treating [or examining] physician's opinion if it is based 'to a large extent' on a
19 claimant's self-reports that have been properly discounted as incredible." *Tommasetti*, 533 F.3d
20 at 1041 (quoting *Morgan v. Comm'r Soc. Sec. Admin.*, 169 F.3d 595, 602 (9th Cir. 1999)). In
21 this case, Dr. Dees conducted a mental status examination in which plaintiff was observed to be
22 significantly depressed with congruent affect, but also alert, friendly, and cooperative, socially
23 appropriate and fully engaged, and with normal speech and logical, linear, and goal oriented

1 thought processes. (AR 371.) Plaintiff was fully oriented, had only mildly impaired memory
2 and good fund of knowledge, completed both simple and complex instructions, and had abstract
3 thinking within normal limits. (*Id.*) The only other testing performed by Dr. Dees consisted of
4 plaintiff's reporting of his symptoms. (*See* AR 373.) The ALJ, as such, can be said to have
5 reasonably concluded that Dr. Dees relied in significant part on plaintiff's discredited subjective
6 reports. However, because the ALJ erred in each of the three other reasons provided in relation
7 to Dr. Dees, further consideration of this evidence is warranted on remand.

8 3. Therapist Hains:

9 The ALJ did not err in considering the opinions of Hains. The ALJ noted that Hains did
10 not opine as to work-related activities because he had not observed plaintiff in the workplace and
11 felt he could not assess the impact of illness on work performance. (AR 22.) She also took note
12 of Hains's observation that, even when plaintiff's functional abilities were diminished during
13 regular and significant bouts of depression, he was able to tend to his activities of daily living to
14 a diminished degree. (*Id.*) The ALJ, as such, properly provided germane reasons for assigning
15 little weight to the opinions of Hains.

16 4. GAF scores:

17 The ALJ pointed to GAF scores from Dr. Dees and Hains. She described the scores as
18 quite low, but also inconsistent with plaintiff's self-reported activities, including participating in
19 required chores at the shelter where he stays, using public transportation, going to parks and the
20 library, and doing his laundry and showering at an urban rest stop. (AR 22.) The ALJ noted
21 that, as reflected in plaintiff's testimony, he responds appropriately to situations that make him
22 angry by walking away. (*Id.*) The ALJ declined to place a high degree of reliance and gave little
23 weight to the GAF scores given that they are highly subjective, intertwine psychological

1 symptoms, physical impairments, and socioeconomic factors, and relied on plaintiffs' discredited
2 subjective complaints. (*See* AR 22-23.)

3 A GAF score cannot alone be used to "raise" or "lower" someone's level of function,
4 and, unless the reasons behind the rating and applicable time period are clearly explained, it does
5 not provide a reliable longitudinal picture of a claimant's mental functioning for a disability
6 analysis. Administrative Message 13066 ("AM-13066"). The most recent version of the
7 Diagnostic and Statistical Manual of Mental Disorders (DSM-V) does not include a GAF rating
8 for assessment of mental disorders. DSM-V at 16-17 (5th ed. 2013). The Social Security
9 Administration continues to receive and consider a GAF score as opinion evidence "when it
10 comes from an acceptable medical source[.]" AM-13066.

11 Because Hains is not an acceptable medical source, the ALJ was not required to consider
12 the GAF score he assessed as opinion evidence. The ALJ, in any event, adequately addressed
13 the GAF scores in the record by providing several reasons for according them little weight.

14 C. Development of the Record

15 Plaintiff avers error in the ALJ's failure to secure missing records. At hearing, the ALJ
16 and counsel for plaintiff discussed records missing from Drs. Tsai and Scott, and the ALJ
17 indicated she would request updated records from those providers. (*See* AR 34-35, 89.) Because
18 the ALJ secured only updated records from Dr. Tsai (*see* AR 591-612), plaintiff avers she failed
19 in her "independent duty to fully and fairly develop the record and to assure that the claimant's
20 interests are considered." *Tonapetyan v. Halter*, 242 F.3d 1144, 1150 (9th Cir. 2001) (internal
21 quotation marks and quoted sources omitted). As plaintiff observes, this duty extends to both
22 represented and unrepresented claimants. *Id.*

23 The Commissioner maintains the ALJ had no duty to develop the record further given

1 that the existing evidence from Drs. Tsai and Scott was not ambiguous and was sufficient to
2 allow for proper evaluation of plaintiff's claim. *See Mayes v. Massanari*, 276 F.3d 453, 459-60
3 (9th Cir. 2001) ("An ALJ's duty to develop the record further is triggered only when there is
4 ambiguous evidence or when the record is inadequate to allow for proper evaluation of the
5 evidence.") The Commissioner further maintains that plaintiff fails to meet his burden of
6 showing "a substantial likelihood of prejudice" arising from the failure to obtain the additional
7 records. *McLeod v. Astrue*, 640 F.3d 881, 885 (9th Cir. 2011).

8 Plaintiff, in response, states he could not afford to obtain the updated records due to his
9 indigent status, maintains the Commissioner inappropriately offers post hoc rationalizations as to
10 why the records were not obtained, and argues the suggestion he show prejudice would require
11 he intuit the content of the missing records. Plaintiff states that the records pertain to his
12 physical problems and the work-up prior to his neck surgery, and maintains their relevance given
13 the existing evidence, including the records never addressed by the ALJ.

14 In *McLeod*, the Ninth Circuit determined that, while the party claiming error bears the
15 burden of showing prejudice, a reviewing court "can determine from the 'circumstances of the
16 case' that further administrative review is needed to determine whether there was prejudice from
17 the error." 640 F.3d at 888 (quoting *Shinseki v. Sanders*, 556 U.S. 396, 129 S.Ct. 1696, 1706
18 (2009)). "Mere probability is not enough. But where the circumstances of the case show a
19 substantial likelihood of prejudice, remand is appropriate so that the agency 'can decide whether
20 re-consideration is necessary.' By contrast, where harmlessness is clear and not a 'borderline
21 question,' remand for reconsideration is not appropriate." *Id.* (finding failure to develop the
22 record to secure claimant's VA determination was reasonably likely to have been prejudicial and
23 necessitated remand) (quoting *Shinseki*, 129 S.Ct. at 1708). *See also Garcia v. Comm'r of Soc.*

1 *Sec.*, 768 F.3d 925, 932 n.10 (9th Cir. 2014) (“*McLeod* is limited to situations where the record
2 is insufficient for the court to make its own prejudice determination, and remand is required for
3 the ALJ to determine the harmfulness of the omission in the first instance. It makes good sense
4 that, in such a situation, ‘mere probability’ that hypothetical new evidence—like the potential
5 disability certificate—may be influential is insufficient to support a remand.”; finding no reason
6 to depart from harmless standard where court knew precisely the evidence that had been
7 omitted and had “no doubts about its significance”).

8 In this case, while there appears to be no dispute that some records from Dr. Scott are
9 missing from the record, it remains entirely unclear whether such records would support
10 plaintiff’s allegations or provide further support for the ALJ’s conclusions. Nor does the Court
11 find the existing evidence from Dr. Scott and other evidence of record either ambiguous or
12 inadequate to allow for a determination in relation to plaintiff’s physical impairments. However,
13 because plaintiff demonstrates the need for further consideration of his claim, the ALJ should
14 take the opportunity to secure any missing records from plaintiff’s providers, and, if necessary,
15 explain why such records have not been obtained.

16 Credibility

17 Absent evidence of malingering, an ALJ must provide specific, clear, and convincing
18 reasons to reject a claimant’s testimony. *Burrell v. Colvin*, 775 F.3d 1133, 1136-37 (9th Cir.
19 2014) (citing *Molina*, 674 F.3d at 1112). *See also Lingenfelter v. Astrue*, 504 F.3d 1028, 1036
20 (9th Cir. 2007). “General findings are insufficient; rather, the ALJ must identify what testimony
21 is not credible and what evidence undermines the claimant’s complaints.” *Lester*, 81 F.3d at
22 834. “In weighing a claimant’s credibility, the ALJ may consider his reputation for truthfulness,
23 inconsistencies either in his testimony or between his testimony and his conduct, his daily

1 activities, his work record, and testimony from physicians and third parties concerning the
2 nature, severity, and effect of the symptoms of which he complains.” *Light v. Social Sec.*
3 *Admin.*, 119 F.3d 789, 792 (9th Cir. 1997).

4 The ALJ in this case found plaintiff’s medically determinable impairments could
5 reasonably be expected to cause the alleged symptoms, but did not find all of his symptom
6 allegations to be credible. She provided two reasons in support, finding the record failed to
7 document significant objective findings inconsistent with the assessed RFC, and that plaintiff
8 had engaged in activities consistent with the RFC. (AR 20-21.)

9 An ALJ may properly rely on inconsistency between a plaintiff’s allegations and both
10 objective findings and activities as reasons for finding a plaintiff not fully credible. *See Rollins*
11 *v. Massanari*, 261 F.3d 853, 857 (9th Cir. 2001) (“While subjective pain testimony cannot be
12 rejected on the sole ground that it is not fully corroborated by objective medical evidence, the
13 medical evidence is still a relevant factor in determining the severity of the claimant’s pain and
14 its disabling effects.”); *Carmickle v. Comm’r of SSA*, 533 F.3d 1155, 1161 (9th Cir. 2008)
15 (“Contradiction with the medical record is a sufficient basis for rejecting the claimant’s
16 subjective testimony.”); and *Orn v. Astrue*, 495 F.3d 625, 639 (9th Cir. 2007) (activities may
17 undermine credibility where they contradict the claimant’s testimony); *Molina*, 674 F.3d at 1113
18 (ALJ reasonably concluded evidence of activities undermined claims as to allegedly disabling
19 limitations). However, because the ALJ’s errors in considering the medical evidence of record
20 may have implicated her credibility assessment, and in light of the very minimal discussion of
21 the medical evidence in this case, the ALJ should reconsider plaintiff’s credibility on remand.

22 Step Five

23 Plaintiff avers error in the ALJ’s step five determination. He states that the limitation to

1 unskilled, routine, and repetitive tasks limits him to jobs with reasoning levels of less than three
2 and excludes the job of storage facility clerk. *See Zavalin v. Colvin*, 778 F.3d 842, 846-48 (9th
3 Cir. 2015) (holding “reasoning level 3” work is in inherent conflict with an RFC restriction to
4 simple, repetitive tasks, and the ALJ was required to ask the VE to explain the conflict). Plaintiff
5 also states that the VE erred in identifying the number of photo copy machine operator jobs (*see*
6 AR 24, 80-81 (identifying 25,958 nationally and 415 jobs in Washington State)), and points to a
7 contrary VE opinion he provided to the ALJ after the hearing as supporting the existence of only
8 519 and 7 of such jobs at the national and state levels respectively. (*See* AR 209 and Dkt. 15 at
9 15.) Plaintiff reiterates an objection he made at hearing as to the reliability of the job
10 information provided by the VE, adding that the ALJ failed to reference and apparently ignored
11 the post-hearing brief he submitted.

12 The Commissioner rejects the general challenge to the reliability of the VE’s testimony,
13 noting long-standing precedent holding that a “VE’s recognized expertise provides the necessary
14 foundation for his or her testimony.” *Bayliss v. Barnhart*, 427 F.3d 1211, 1218 (9th Cir. 2005).
15 The Commissioner does not, however, dispute either of the two specific assignments of error
16 raised by plaintiff. She, instead, maintains the remaining job identified – advertisement material
17 distributor – suffices to support the ALJ’s step five finding. That is, even as described in the VE
18 brief proffered by plaintiff, there are 43,943 of these jobs nationally and 504 in Washington
19 State. (*See* AR 209; *see also* AR 24, 81 (the VE at hearing identified 57,271 of these jobs
20 nationally and 699 in Washington State).) (*See also* Dkt. 15 at 15-16 (plaintiff states that, other
21 than some differences in the job numbers as testified to by the VE at hearing, this job “appears to
22 meet the requirements of the law.”))

23 Plaintiff does not demonstrate error in the ALJ’s reliance, as a general matter, on the

1 VE's testimony at hearing. "An ALJ may take administrative notice of any reliable job
2 information, including information provided by a VE." *Bayliss*, 427 F.3d at 1218. As the
3 Commissioner observes, a "VE's recognized expertise provides the necessary foundation for his
4 or her testimony." *Id.*

5 It could also arguably be said that, even assuming the alleged errors in relation to two of
6 the three jobs identified at step five, the ALJ's conclusion would retain the support of substantial
7 evidence with consideration of the advertisement material distributor jobs, together with the
8 modified number of copy machine operator jobs identified by plaintiff. *See, e.g., Gutierrez v.*
9 *Comm'r of Soc. Sec.*, 740 F.3d 519, 528-29 (9th Cir. 2014) (finding 2,500 jobs in California and
10 25,000 jobs in several regions of the country constituted significant numbers of jobs to support
11 step five finding); *Yelovich v. Colvin*, No. 11-36071, 2013 U.S. App. LEXIS 13248 at *4 (9th
12 Cir. Jun. 27, 2013) (finding error in relation to two jobs harmless where there were a significant
13 number of jobs in a third occupation, including 900 jobs regionally and 42,000 jobs nationally;
14 noting that the Ninth Circuit has found 135 regional and 1,680 national jobs not a significant
15 number, while finding as few as 1,266 regional jobs significant, and referencing cases finding as
16 few as 500 jobs significant); *Meanel v. Apfel*, 172 F.3d 1111, 1114-15 (9th Cir. 1999) (declining
17 to address arguments regarding one of two jobs identified by the ALJ given that the number of
18 positions for one of those jobs, between 1,000 and 1,500 in the local area, constituted a
19 significant number). The Court, however, need not reach a conclusion on this issue.

20 Because the ALJ's reconsideration of the medical evidence and plaintiff's credibility may
21 implicate the step five conclusion, the ALJ should also reconsider plaintiff's claim at step five.
22 In so doing, the ALJ should correct any step five errors raised by plaintiff and not disputed by
23 the Commissioner herein.

CONCLUSION

For the reasons set forth above, this matter should be REMANDED for further administrative proceedings.

DEADLINE FOR OBJECTIONS

Objections to this Report and Recommendation, if any, should be filed with the Clerk and served upon all parties to this suit within **fourteen (14) days** of the date on which this Report and Recommendation is signed. Failure to file objections within the specified time may affect your right to appeal. Objections should be noted for consideration on the District Judge's motions calendar for the third Friday after they are filed. Responses to objections may be filed within **fourteen (14) days** after service of objections. If no timely objections are filed, the matter will be ready for consideration by the District Judge on **April 22, 2016**.

DATED this 1st day of April, 2016.



Mary Alice Theiler
United States Magistrate Judge